

## S.01.02 – Basic information

### General comments:

This section relates to opening, quarterly and annual submission of information for groups.

	ITEM	INSTRUCTIONS
C0010/R0010	Participating undertaking name	Legal name of the participating insurance and reinsurance undertaking or insurance holding company or mixed financial holding company at the head of the insurance or reinsurance group. Needs to be consistent over different submissions.
C0010/R0020	Group identification code	Identification code of the participating undertaking, using the following priority: – Legal Entity Identifier ("LEI") – Identification code used in the local market, attributed by supervisory authority
C0010/R0030	Type of code of group	Type of ID Code used for the "Group Identification code" item. One of the options in the following closed list shall be used:  1 – LEI  2 – Specific code
C0010/R0050	Country of the group supervisor	Identify the ISO 3166–1 alpha–2 Code of the country of the group supervisor
C0010/R0060	Sub–group information	Identify if the information relates to a sub–group in accordance with Article 216 of Directive 2009/138/EC. One of the options in the following closed list shall be used:  1 – No sub–group information  2 – Sub–group information
C0010/R0070	Language of reporting	Identify the 2 letter code of ISO 639–1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy–mm–dd) code of the date when the reporting to the supervisory authority is made
C0010/R0090	Reporting reference date	Identify the ISO 8601 (yyyy–mm–dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad–hoc submission	Identify if the submission of information relates to regular submission of information or ad–hoc. The following closed list of options shall be used:  1 – Regular reporting  2 – Ad–hoc reporting

C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report
C0010/R0120	Accounting standards	Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used: 1 – International Financial Reporting Standards ("IFRS")  2 – Local generally accepted accounting principles ("GAAP")
C0010/R0130	Method of Calculation of the group SCR	Identify the method used to calculate the group SCR. The following closed list of options shall be used: 1 – Standard formula  2 – Partial internal model 3 – Full internal model
C0010/R0140	Use of group specific parameters	Identify if the group is reporting figures using group specific parameters. The following closed list of options shall be used: 1 – Use of group specific parameters 2 – Don't use group specific parameters
C0010/R0150	Ring-Fenced Funds	Identify if the group is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used: 1 – Reporting activity by RFF 2 – Not reporting activity by RFF
C0010/R0160	Method of group solvency calculation	Identify the group solvency calculation method. The following closed list of options shall be used: 1 – Method 1 is used exclusively 2 – Method 2 is used exclusively  3 – A combination of method 1 and method 2 is used
C0010/R0170	Matching adjustment	Identify if the group is reporting figures using the matching adjustment ("MA"). The following closed list of options shall be used: 1 – Use of matching adjustment 2 – No use of matching adjustment
C0010/R0180	Volatility adjustment	Identify if the group is reporting figures using the volatility adjustments. The following closed list of options shall be used: 1 – Use of volatility adjustment 2 – No use of volatility adjustment
C0010/R0190	Transitional measure on the risk-free interest rate	Identify if the group is reporting figures using the transitional adjustment to the relevant risk-free interest rate term structure. The following closed list of options shall be used: 1 – Use of transitional measure on the risk-free interest rate 2 – No use of transitional measure on the risk-free interest rate

C0010/R0200	Transitional measure on technical provisions	<p>Identify if the group is reporting figures using the transitional deduction to technical provisions. The following closed list of options shall be used:</p> <p>1 – Use of transitional measure on the technical provisions 2 – No use of transitional measure on the technical provisions</p>
C0010/R0210	Initial submission or re-submission	<p>Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used:</p> <p>1 – Initial submission 2 – Re-submission</p>



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