

S.01.02 – Basic information

General comments:

This section relates to opening, quarterly and annual submission of information for individual entities.

	ITEM	INSTRUCTIONS
C0010/R0010	Undertaking name	Legal name of the undertaking. Needs to be consistent over different submissions
C0010/R0020	Undertaking identification code	Identification code of the undertaking, using the following priority: – Legal Entity Identifier ("LEI") – Identification code used in the local market, attributed by supervisory authority
C0010/R0030	Type of code of undertaking	Type of ID Code used for the "Undertaking Identification code" item. One of the options in the following closed list shall be used: 1 – LEI 2 – Specific code
C0010/R0040	Type of undertaking	Identify the type of the reporting undertaking. The following closed list of options shall be used to identify the activity of the undertaking: 2 – Life undertakings 3 – Non-Life undertakings 4 – Undertakings pursuing both life and non-life insurance activity – article 73 (2) 5 – Undertakings pursuing both life and non-life insurance activity – article 73 (5) 6 – Reinsurance undertakings
C0010/R0050	Country of authorisation	Identify the ISO 3166-1 alpha-2 code of the country where the undertaking was authorised (Home-country)
C0010/R0070	Language of reporting	Identify the 2 letter code of ISO 639-1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy-mm-dd) code of the date when the reporting to the supervisory authority is made
C0010/R0081	Financial year end	Identify the ISO 8601 (yyyy-mm-dd) code of the financial year end of the undertaking, e.g. 2017-12-31

C0010/R0090	Reporting reference date	Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad-hoc submission	Identify if the submission of information relates to regular submission of information or ad-hoc. The following closed list of options shall be used: 1 – Regular reporting 2 – Ad-hoc reporting 3 – Re-submission of S.30 templates in accordance with instructions of the template 4 – Empty submission
C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report
C0010/R0120	Accounting standards	Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used: 1 – The undertaking is using International Financial Reporting Standards ("IFRS") 2 – The undertaking is using local generally accepted accounting principles ("GAAP") (other than IFRS)
C0010/R0130	Method of Calculation of the SCR	Identify the method used to calculate the SCR. The following closed list of options shall be used: 1 – Standard formula 2 – Partial internal model 3 – Full internal model
C0010/R0140	Use of undertaking specific parameters	Identify if the undertaking is reporting figures using undertaking specific parameters. The following closed list of options shall be used: 1 – Use of undertaking specific parameters 2 – Don't use undertaking specific parameters
C0010/R0150	Ring-Fenced Funds	Identify if the undertaking is reporting activity by Ring Fenced Funds (RFF). The following closed list of options shall be used: 1 – Reporting activity by RFF 2 – Not reporting activity by RFF
C0010/R0170	Matching adjustment	Identify if the undertaking is reporting figures using the matching adjustment. The following closed list of options shall be used: 1– Use of matching adjustment 2 – No use of matching adjustment

C0010/R0180	Volatility adjustment	Identify if the undertaking is reporting figures using the volatility adjustment. The following closed list of options shall be used: 1– Use of volatility adjustment 2 – No use of volatility adjustment
C0010/R0190	Transitional measure on the risk-free interest rate	Identify if the undertaking is reporting figures using the transitional adjustment to the relevant risk-free interest rate term structure. The following closed list of options shall be used: 1 – Use of transitional measure on the risk-free interest rate 2 – No use of transitional measure on the risk-free interest rate
C0010/R0200	Transitional measure on technical provisions	Identify if the undertaking is reporting figures using the transitional deduction to technical provisions. The following closed list of options shall be used: 1 – Use of transitional measure on technical provisions 2 – No use of transitional measure on technical provisions
C0010/R0210	Initial submission or re-submission	Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used: 1 – Initial submission 2 – Re-submission

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