

**S.01.02 — Basic information**
*General comments:*

This section relates to quarterly and annual submission of information for individual entities.

	ITEM	INSTRUCTIONS
C0010/R0010	Undertaking name	Legal name of the undertaking. Needs to be consistent over different submissions
C0010/R0020	Undertaking identification code	Identification code of the undertaking, using the Legal Entity Identifier (LEI).
C0010/R0040	Type of undertaking	<p>Identify the type of the reporting undertaking. The following closed list of options shall be used to identify the activity of the undertaking:</p> <p>2 — Life insurance undertakings</p> <p>3 — Non-Life insurance undertakings</p> <p>4 — Insurance undertakings pursuing life insurance activity and non-life insurance activities for the risks listed in classes 1 and 2 in Part A of Annex I — Article 73(2)</p> <p>5 — Insurance undertakings pursuing both life and non-life insurance activity — Article 73(5)</p> <p>6 — Reinsurance undertakings</p>
C0010/R0050	Country of authorisation	Identify the ISO 3166-1 alpha-2 code of the country where the undertaking was authorised (Home-country)
C0010/R0070	Language of reporting	Identify the 2-letter code of ISO 639-1 code of the language used in the submission of information
C0010/R0080	Reporting submission date	Identify the ISO 8601 (yyyy-mm-dd) code of the date when the reporting to the supervisory authority is made
C0010/R0081	Financial year end	Identify the ISO 8601 (yyyy-mm-dd) code of the financial year end of the undertaking, e.g. 2017-12-1
C0010/R0090	Reporting reference date	Identify the ISO 8601 (yyyy-mm-dd) code of the date identifying the last day of the reporting period
C0010/R0100	Regular/Ad-hoc submission	<p>Identify if the submission of information relates to regular submission of information or ad-hoc. The following closed list of options shall be used:</p> <p>1 — Regular reporting</p>

		<p>2 — Ad-hoc reporting</p> <p>3 — Re-submission of S.30 templates in accordance with instructions of the template</p> <p>4 — Empty submission</p>
C0010/R0110	Currency used for reporting	Identify the ISO 4217 alphabetic code of the currency of the monetary amounts used in each report
C0010/R0120	Accounting standards	<p>Identification of the accounting standards used for reporting items in S.02.01, financial statements valuation. The following closed list of options shall be used:</p> <p>1 — The undertaking is using International Financial Reporting Standards ('IFRS')</p> <p>2 — The undertaking is using local generally accepted accounting principles ('GAAP') (other than IFRS)</p>
C0010/R0130	Method of Calculation of the SCR	<p>Identify the method used to calculate the SCR. The following closed list of options shall be used:</p> <p>1 — Standard formula</p> <p>2 — Partial internal model</p> <p>3 — Full internal model</p>
C0010/R0140	Use of undertaking specific parameters	<p>Identify if the undertaking is reporting figures using undertaking specific parameters. The following closed list of options shall be used:</p> <p>1 — Use of undertaking specific parameters</p> <p>2 — Don't use undertaking specific parameters</p>
C0010/R0150	Ring-Fenced Funds	<p>Identify if the undertaking is reporting activity by Ring-Fenced Funds. The following closed list of options shall be used:</p> <p>1 — Reporting activity by RFF</p> <p>2 — Not reporting activity by RFF</p>
C0010/R0170	Matching adjustment	<p>Identify if the undertaking is reporting figures using the matching adjustment. The following closed list of options shall be used:</p> <p>1— Use of matching adjustment</p> <p>2 — No use of matching adjustment</p>

C0010/R0180	Volatility adjustment	<p>Identify if the undertaking is reporting figures using the volatility adjustment. The following closed list of options shall be used:</p> <p>1– Use of volatility adjustment</p> <p>2 — No use of volatility adjustment</p>
C0010/R0190	Transitional measure on the risk-free interest rate	<p>Identify if the undertaking is reporting figures using the transitional adjustment to the relevant risk-free interest rate term structure. The following closed list of options shall be used:</p> <p>1 — Use of transitional measure on the risk-free interest rate</p> <p>2 — No use of transitional measure on the risk-free interest rate</p>
C0010/R0200	Transitional measure on technical provisions	<p>Identify if the undertaking is reporting figures using the transitional deduction to technical provisions. The following closed list of options shall be used:</p> <p>1 — Use of transitional measure on technical provisions</p> <p>2 — No use of transitional measure on technical provisions</p>
C0010/R0210	Initial submission or re-submission	<p>Identify if it is an initial submission of information or a re-submission of information in relation to a reporting reference date already reported. The following closed list of options shall be used:</p> <p>1 — Initial submission</p> <p>2 — Re-submission</p>

R0250	Exemption of reporting ECAI information	<p>One of the options in the following closed list shall be used:</p> <p>1 — Exempted for assets (based on Article 35(6) and (7))</p> <p>2 — Exempted for assets (based on outsourcing)</p> <p>3 — Exempted for derivatives (based on Article 35(6) and (7))</p> <p>4 — Exempted for derivatives (based on outsourcing)</p>
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C0010/R0255	Direct URL to the webpage where the Solvency and Financial Condition Report is disclosed	<p>Include the direct URL to the webpage where the Solvency and Financial Condition Report (SFCR) corresponding to the reporting reference date as filled in C0010/R0081 “Financial year end” will be published. In case undertaking has no webpage, "UNDERTAKING WITH NO WEBSITE" value should be reported.</p>
C0010/R0260	Direct URL to download the Solvency and Financial Condition Report	<p>Include the direct URL to download the Solvency and Financial Condition Report (SFCR) corresponding to the reporting reference date as filled in C0010/R0081 “Financial year end”.</p> <p>The URL shall directly link to the file containing the SFCR and not to a webpage.</p> <p>Alternatively, if the SFCR file is already available at the submission date, or if the SFCR is not disclosed in a webpage, the file shall be included in the annual submission and in this cell choose one of the following options:</p> <p>“SFCR file provided”</p> <p>“SFCR file not provided”</p> <p>If “File not provided” is chosen an explanation needs to be provided to the national competent authority. .</p>
C0010/R0270	Captive Business	<p>Identify if the undertaking performs a captive business in line with the definition in Article 13 of Directive 2009/138/EC.</p> <p>1 – Captive business</p> <p>2 – No captive business</p>
C0010/R0280	Run-Off Business	<p>This item does not apply to the undertakings whose licence has been withdrawn.</p> <p>One of the options in the following closed list shall be used:</p>

		<p>1- Undertakings running-off a portfolio of contracts but not their whole business (partial run-off undertaking or undertaking with run-off portfolio);</p> <p>2 - Undertakings running-off their whole (previous) business (full run-off undertaking);</p> <p>3 - Undertakings with a run-off business model (specialised run-off undertakings) - insurance undertakings or groups whose business model is to actively acquire legacy portfolios or whole insurers in run-off;</p> <p>4 – No run-off business.</p>
C0010/R0290	M&A during period	<p>Identify if mergers or acquisitions or disposal of activities affecting the information reported occurred during the reporting period.</p> <p>One of the options in the following closed list shall be used:</p> <p>1 — Yes</p> <p>2 — No</p>